



## **Annual General Meeting of WashTec AG 2022 (virtual Annual General Meeting) - Data protection notice**

### **1. General information**

#### **(a) Introduction**

WashTec AG attaches great importance to data protection and the protection of privacy. In the data protection information that follows, we would like to inform our shareholders about the processing of their personal data and their rights in accordance with applicable data protection law, notably Regulation (EU) No 2016/679 (the General Data Protection Regulation/GDPR), in connection with the preparation, conduct and follow-up of the virtual Annual General Meeting.

#### **b) Controller within the meaning of Article 4(7) GDPR**

WashTec AG, Argonstrasse 7, 86153 Augsburg, Germany

#### **(c) Contact details of the Data Protection Officer**

WashTec AG

Data Protection Officer

Argonstrasse 7, 86153 Augsburg

Phone: +49 821 5584 – 1111

Email: [datenschutzbeauftragter@washtec.com](mailto:datenschutzbeauftragter@washtec.com)

### **2. Information regarding processing**

#### **(a) Categories of data**

We process, in particular, the following categories of personal data:

- Forename and surname
- Address
- Number of shares
- Class of shares
- Type of share ownership
- Voting card number

In addition, we may also process the personal data (in particular, the name and place residence) of any proxy holder nominated by a shareholder. If shareholders or their proxy holders contact us, we also process the personal data necessary to respond to any requests (such as contact details – e.g., email address or telephone number – provided by the shareholder or proxy holder). Where applicable, we also process information on motions, questions, nominations for election and shareholders' requests in the virtual Annual General Meeting.

#### **b) Purpose and legal basis of the processing**

We use personal data in order to enable shareholders to participate in and exercise their rights at the virtual Annual General Meeting. The processing of personal data is essential to the proper preparation, conduct and follow-up of the virtual Annual General Meeting and to enable shareholders to participate in the virtual Annual General Meeting pursuant to Sections 118 et seq. of the German Stock Corporation Act (Aktiengesetz – AktG). The legal basis for the processing of personal data is Article 6(1)c GDPR read in conjunction with Sections 118 et seq. AktG and Section 1 of the Covid-19 Act. In addition, we also process personal data as necessary to fulfil other legal obligations, such as regulatory requirements and retention obligations under stock corporation law, securities law, commercial law and tax law. The legal basis for this processing is Article 6(1)c GDPR read in conjunction with the applicable statutory provisions. In addition, data processing necessary for the organization of the virtual Annual General Meeting may be performed on the basis of overriding interests (Article 6(1)(f) GDPR).

All shares in WashTec AG are bearer shares. In contrast to registered shares, WashTec AG does not maintain a share register stating the family name, date of birth, address and the number of shares held, as stipulated in Section 67 AktG.

#### **c) Categories of recipients of personal data**

We make use of external service providers for the preparation, conduct and follow-up of the virtual Annual General Meeting (in particular for registrations for and for the conduct of the Annual General Meeting). Service providers contracted for the purpose of the preparation, conduct and follow-up of the Annual General Meeting receive from us only the personal data needed to perform the contracted service and process the data exclusively in accordance with WashTec AG's instructions. All of our employees and all employees of external service providers who have access to and/or process personal data are under obligation to treat the data confidentially.

In addition, it may be possible for personal data of shareholders and proxy holders exercising rights in connection with the virtual Annual General Meeting to be inspected by other shareholders and proxy holders as required by law. The Company will make shareholders' counter motions and nominations available on the Company website if the requirements under the provisions of German stock corporation law (Section 126 (1) and Section 127 AktG) are met, stating the name of the shareholder, and will deal with them in the virtual Annual General Meeting subject to the requirements set out in the convocation notice for the virtual Annual General Meeting 2022. In connection with answering any questions submitted in advance by shareholders or proxy holders (Section 1 (2) sentence 1 no. 3 and sentence 2 of the Covid-19 Act), the name of the person submitting a question will be mentioned in the course of answering such questions during the Annual General Meeting (in so far as questions are answered individually) unless they have objected to being named. The Company is required under Section 129 AktG to keep a list of attendees of the Annual General Meeting. Under Section 129 (4) AktG, the listed personal data can be inspected by participants in the Annual General Meeting during the meeting and by shareholders for up to two years after the meeting.

#### **(d) Data sources**

As a rule, we and our contracted service providers obtain shareholders' personal data via our registration office from the credit institutions used by shareholders for the custody of their shares ("custodian banks").

#### **(e) Retention period**

The retention period for data stored in connection with the Annual General Meeting is generally up to three years. We anonymize or erase personal data unless statutory verification and retention obligations require us to retain it for a longer period and unless longer retention is necessary in connection with legal proceedings. Information on shareholders' questions in the coming Annual General Meeting will be anonymized after one month unless longer retention is necessary for the aforementioned reasons.

### **3. Rights of data subjects**

As data subjects, shareholders may contact our Data Protection Officer, using the contact data provided above under 1 (c), with a non-formal request in order to exercise their rights under the GDPR, the requirements for which are subject to individual appraisal. In particular, these rights comprise:

- The right to obtain information about the processing and a copy of the processed data (right of access, Article 15 GDPR);

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- The right to obtain the rectification of inaccurate data and the completion of incomplete data (right of rectification, Article 16 GDPR);
- The right to obtain erasure of personal data and, if personal data have been published, to have other controllers notified of the request for erasure (right to erasure, Article 17 GDPR);
- The right to obtain the restriction of processing (right to restriction of processing, Article 18 GDPR).

If personal data is processed on the basis of Article 6(1)(f) GDPR, shareholders and shareholder representatives also have a right of objection subject to the statutory requirements (Article 21 GDPR).

Data subjects also have the right to lodge a complaint with a supervisory authority. The competent supervisory authority in Bavaria is as follows:

Bayerisches Landesamt für Datenschutzaufsicht (Bavarian Data Protection Authority/BayLDA), Promenade 18, 91522 Ansbach, Germany, phone: +49 981 180093-0, fax: +49 981 180093-800, E-Mail: [poststelle@lda.bayern.de](mailto:poststelle@lda.bayern.de)